

PART B - FEE(S) TRANSMITTAL

TIME

JUN 21 2006

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7590

03/20/2006

Ranjana Kadle
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 Suite 2000
 One M&T Plaza
 Buffalo, NY 14203-2391
 06/21/2006 HDESTA2 00000027 09873881

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Alicia Falkenbach

(Depositor's name)

Alicia Falkenbach

(Signature)

June 19, 2006

(Date)

01 FC:1501 1400.00 OP
 02 FC:9001 30.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/873,881	06/04/2001	Fred W. Scott	18617.NEW	6373

TITLE OF INVENTION: RECOMBINANT MULTIVALENT VIRAL VACCINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	06/20/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
WINKLER, ULRKE	1648	424-199100			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Hodgson Russ LLP

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Cornell Research Foundation, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Ithaca, New York

Washington, DC

The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 08-2442 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Ranjana Kadle

Date June 19, 2006

Typed or printed name Ranjana Kadle

Registration No. 40,041

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attorney Docket No.: 018617.00075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Scott, et al.
Serial No.	:	09/873,881
Filing Date	:	June 4, 2001
Title	:	RECOMBINANT MULTIVALENT VIRAL VACCINE

LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby Certify that this Correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on June 19, 2006.

Alicia Falkenbach

Name

Alicia Falkenbach

Signature

June 19, 2006

Date of Signature

Sir:

This application was initially filed on June 4, 2001 as a small entity. However, due to a change in the status, all subsequent payments were made as large entity. The issue fee also is being submitted on a large entity basis. The Notice of Allowance and Fee(s) Due dated March 20, 2006 indicates the USPTO records were not updated to reflect the change to large entity status. Please update the USPTO records to indicate large entity status.

Respectfully submitted,
HODGSON RUSS LLP
Attorneys for Applicant(s)

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By: Ranjana Kadle

Ranjana Kadle, Reg. No. 40,041